



UNITED STATES PATENT AND TRADEMARK OFFICE

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13 NOV 2001

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.govWILSON SONSINI,
GOODRICH & ROSATI

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/763497

WRENN

S

12636-876

INTERNATIONAL APPLICATION NO.

PCT/US99/25676

I.A. FILING DATE

PRIORITY DATE

01 NOV 99

04 NOV 98

WILSON SONSINI GOODRICH & ROSATI
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DATE MAILED: 13 SEP 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:

- ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee. ☒ Indication of Small Entity Status.
- ☒ Copy of the international application. ☐ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s). ☐ Translation of Article 19 amendments into English.
- ☐ Copy of Article 19 amendments. ☐ Other:
- ☒ Priority Document.
- ☒ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which they are due (37 CFR 1.492(g)). See attached PTO-875.5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.Enclosed: ☐ PCT/DO/EO/917
☐ PTO-875☐ Notice of Defective Translation
☐ PCT/DO/EO/920Karen Williams *KW*

USGR PATENT DOCKET	
U.S.:	FOREIGN:
DOCKETED: 01/24/01 BY: J.C.	MISSING: (25)
DUE: 1/12/01	
FILED: 2/12/01	
CMA: 12636-876	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wrenn
Serial No.: 09/763,497
Filed: February 21, 2001
For: Oral Administration of Adenosine Analogs

Group No.: Unassigned
Examiner: Unassigned

Commissioner for Patents
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS – NOTIFICATION OF MISSING REQUIREMENTS UNDER 35
U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

- I. This replies to the Notification of Missing Requirements Under 37 U.S.C. 371 mailed September 13, 2001. A copy of the Notification of Missing Requirements Under 35 U.S.C. 371 is enclosed.

DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

SMALL ENTITY STATUS

- III. Applicant claims small entity status. See 37 CFR 1.27.

COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$0
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$0
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$0
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$0
6. Total completion fees	\$65.00

TOTAL FEE DUE

- IV. The total fee due is: Completion fees \$65.00.

TOTAL FEE DUE \$65.00

PAYMENT OF FEES

- V. Charge Account No. 23-2415 (12636-876) in the amount of \$65.00.
Please charge Account No. 23-2415 (12636-876) for any fees that may be due by this paper.

11/29/2001 HNGUYEN 00000047 232415 09763497

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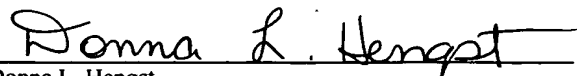

Shirley Chen, Ph.D., Reg. No. 44,608

CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.8)

"Express Mail" Mailing Label No. EL713755874US.

I hereby certify that this paper or fee is being deposited, with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date: November 13, 2001


Donna L. Hengst

Adjustment Date: 12/20/2001 FSMITH
11/29/2001 HNGUYEN 00000047 232415 09763497
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